## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number

09/470,180

Confirmation No.:

5863

**Applicant** 

Jay MERVES et al.

Filed

December 22, 1999

Title

STRUCTURED FINANCE PERFORMANCE ANALYTICS

SYSTEMS

TC/Art Unit

: 3627

Examiner:

Paul DANNEMAN

Docket No.

72167.000112

Customer No.

21967

MAIL STOP AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants submit attached Form PTO/SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

In accordance with 37 C.F.R. §1.98(a)(2)(ii), copies of U.S. patents and/or U.S. patent application publications cited on the attached Form PTO/SB/08A (modified), are not being provided.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form PTO/SB/08A (modified) with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

Application No.: 09/470,180 Attorney Docket No. 72167.000112

In accordance with 37 C.F.R. § 1.97(b), this IDS is being submitted with the filing of a Request for Continued Examination under 37 C.F.R. § 1.114. Accordingly, no fee is required. However, in the event the USPTO determines that a fee is due to enter and consider this IDS, the USPTO is authorized to charge such fee to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

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